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Applicant(s)

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Serial No.

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For

PROCESS FOR PREPARING PHENOLIC POLYMER BY USING

PHENOTHIAZINES MEDIATOR

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I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Service under 37 CFR 1.10 on the date indicated above and is addressed to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97(B)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

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The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last. No fee is required.

Applicants have not enclosed copies of the 4 (four) U.S. Patent documents listed in the attached Form 1449. Applicants have, however, enclosed a copy of each of the two Japanese Patent Abstracts made reference to in Form 1449. If the Examiner would like the Applicants to provide copies of the US references, Applicants will do so.

The filing of this Information Disclosure Statement is not an admission that the documents identified herein constitute prior art to the present application.

The Commissioner is authorized to charge any additional fee that may be required to Deposit Account No. 50-0320.

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP

By:

Ronald R. Santucc Reg. No. 28,988 (212) 588-0800

Sheet 1 of 1 Based on Form PTO-1449 ATTY. DOCKET NO. 1075.847.66 930086-2028 (3/90)LIST OF REFERENCES CITED BY APPLICANT APPLICANT Yong Hwan KIM et al. (Use several sheets if necessary) FILING DATE GROUP Herewith Not Yet Assigned U.S. PATENT DOCUMENTS **EXAMINER** FILING DATE DOCUMENT NUMBER CLASS SUBCLASS DATE NAME INITIAL IF APPROPRIATE 02/13/1990 4,900,671 Pokora et al. AA 06/21/1994 5,322,960 Sakamoto et al. AB 5,824,414 10/20/1998 Kobayashi et al. AC 6,326,315 12/04/2001 Uchiyama et al. ΑD AE AF AG AH. ΑI ΑJ ΑK FOREIGN PATENT DOCUMENTS DOCUMENT NUMBER DATE COUNTRY CLASS **SUBCLASS** TRANSLATION YES NO JP 11-269254 10/05/1999 Patent Abstracts of Japan (English ALX (of Abstract) translation of Abstract Only) JP 2002-201245 07/19/2002 Patent Abstracts of Japan (English AM X (of Abstract) translation of Abstract Only) AN AO ΑP OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.) AQ AR AS AT ΑIJ ΑV **EXAMINER** DATE CONSIDERED EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.